

Agenda

Vietnam Issuer Services Forum 2014

July 8, 2014 | InterContinental Asiana Saigon

- 8:30 a.m. Registration and Breakfast**
- 9:00 a.m. Opening Remarks**
Jeffrey Carleton, Country Treasurer and Head of Markets for Citi Vietnam
- 9:10 a.m. The Evolution of the Equity Capital Markets**
Expert insights on the current state of affairs for the equity and fixed income capital markets in Asia.
David Rich, Vice President, Depository Receipt Services, Asia Pacific, Citi
- 9:40 p.m. Spotlight on Depository Receipts Product Innovations**
Adrian Nye, Regional Head of Issuer Services, Asia Pacific, Citi
David Rich, Vice President, Depository Receipt Services, Asia Pacific, Citi
- 10:20 a.m. Networking Break**
- 10:40 a.m. Panel Discussion: M&A Trends and Developments in Vietnam**
Frederick Burke, Partner, Baker & McKenzie (Vietnam) Ltd. (Moderator)
Hawkins Pham, Head of Advisory, Indochina Capital
James Mythen, Senior Associate, Allen & Overy
Vo Sang Xuan Vinh, CFA, Co-Founder and Partner, Bluewave Advisory Company
- 11:25 a.m. Panel Discussion: Risk Factors of Entering the Realm of an M&A Transaction**
Adrian Nye, Regional Head of Issuer Services, Asia Pacific, Citi
Binh Phan, Managing Partner, KPMG Vietnam
Tran Anh Duc, Managing Partner, Allen & Overy (Moderator)
Trinh Thanh Can, Head of Corporate Finance Division, HSC
Vu Dzung, Partner, YKVN
- 12:10 p.m. Closing Remarks**
Adrian Nye, Regional Head of Issuer Services, Asia Pacific, Citi



Adrian Nye
Regional Head, Asia Pacific, Issuer Services, Citi

Adrian is responsible for Citi's Agency and Trust and Depositary Receipt Services businesses throughout the Asia Pacific region. He was previously the Regional Sales Head for Agency and Trust in Asia Pacific, playing a key component in the significant growth of the business in the region. Prior to joining Citi in 2001, Adrian was the Regional Product Manager for the depositary receipts business of a major European bank. Adrian has lived and worked in Asia since 1998 and has established himself as an industry expert in the corporate trust and depositary receipts fields.



David Rich
Regional Product Head, Depositary Receipt Services, Asia Pacific, Issuer Services, Citi

David is responsible for handling all product development and innovations across Citi's depositary receipt (DR) product spectrum for Asia Pacific and plays an integral role in interacting with issuers, governments and regulators across the region. Based in Asia since 2004, David joined Citi in 2013 with 12 years of Asian and US equity and debt capital markets experience. Prior to joining Citi, David held regional roles at Standard Chartered Bank and Bank of America. He was a founding member of the equity-linked convertible bond team at Standard Chartered Bank and has worked on over 35 offerings for proceeds raised of over US\$12 billion in Asian and US capital markets.



Fiona Kwok
Agency and Trust Services, South-East Asia, Issuer Services, Citi

Fiona is based in Singapore and prior to joining Citi, provided legal counsel at a global investment bank in Hong Kong, advising on capital markets transactions throughout the Asia Pacific region. Over her career Fiona has worked for a number of law firms in Singapore and Hong Kong. While she was in legal practice, Fiona's main area of focus was on capital markets, both debt and equity, where she advised both issuers and arrangers across a variety of products, including straight vanilla debt, equity-linked securities as well as structured products.



Ha Thu Nguyen
Securities Country Manager for Citi Vietnam

Having 18 years of experience in the Vietnam securities market, Ha Thu joined Citi in February 2006 when Citi launched its Securities Services business in Vietnam. Prior to joining Citi, Ha Thu worked for the Ministry of Trade on policies related to increasing market access to foreign investors. She was amongst the very first members of the State Securities Commission of Vietnam playing a role in a number of high-level tasks, including drafting the initial legal framework for the establishment of the securities market, setting up trading rules for local exchanges and listing rules and corporate governance practices applicable to local firms listed on the Hanoi and Ho Chi Minh City exchanges.



Triet Nhu Minh Tran
Business Development Manager, Vietnam, Issuer Services, Citi

Triet is responsible for promoting Citi's overall Issuer Services business in the country by continually innovating our products and services to build the right solutions for our clients in Vietnam. He focuses greatly on enhancing our interaction with issuers, advisors, consultants and regulators in Vietnam to drive developments. Triet joined Citi as a Management Associate in 2010. Prior to joining Citi, he was an Equity Analyst at Horizon Capital Group, in charge of analyzing four different industries. He completed all three CFA tests and is currently waiting for his charter.