

**REGIONAL COMPLIANCE & INVESTIGATIONS PRACTICE**

The regulatory environment continues to evolve with complex regulations and legislations across the region. The prudence of investing in durable and effective internal compliance programs cannot be overstated and companies worldwide are rapidly waking up to their value and cost-cutting potential when integrating them into their day-to-day activities. Being compliant as a business necessitates a broader understanding of the different types of compliance that fits your organization and this can often be a daunting task. This is where we come in, with our extensive experience covering the full-range of services at all levels of the organization, we have the depth and breadth of expertise you need to pierce through the regulatory fog, implementing compliance mechanisms and policies that you can rely on. We have a proven track record of acting on some of the region's highest profile domestic and multi-jurisdictional investigations, anti-corruption, anti-bribery other financial and white-collar crime matters.

At DFDL, the Compliance and Investigations Practice works side-by-side with on-the-ground compliance specialists to conduct innovative, practical and proactive compliance reviews, develop effective compliance programs to control risk, train employees, devise monitoring and auditing procedures, and formulate tailor-made strategies for effective remedial action.



**SELECTED EXPERIENCE**

- A **software company** in relation to online gaming and anti-money laundering laws in Bangladesh.
- A **division of a global aerospace company** in relation to employment and director duties in Lao PDR.
- China's largest listed courier firm** in connection with its acquisition of a Myanmar-based logistics firm including compliance and risk mitigation factors.
- A **Chinese multinational technology giant** in relation to its data protection and licensing review in Myanmar and Vietnam.
- A **global logistics and storage company** in relation to its data review notice in Indonesia, Myanmar and Singapore.
- A **Chinese multinational technology giant** in relation to its data protection and licensing review in Myanmar and Vietnam.
- A **Japanese multinational media conglomerate** in relation to its marketing and advertisement compliance of materials ranging from video, printed materials and website in Cambodia, Thailand, Myanmar and Vietnam.
- A **private equity firm** on its anti-bribery and corruption guide across Cambodia, Lao PDR, Myanmar, Thailand and Vietnam.
- A **multinational French sporting goods retailer** in relation to a comprehensive legal compliance study to create internal legal compliance matrices for all business functions in Thailand.
- An **Australian multinational independent investment bank and financial services company** in relation to its whistleblowing advice in Thailand.

- 9 Countries
- 12 Offices
- 170+ Advisers
- 10 Practice Groups
- 5 International Desks

**CORE PRACTICE AREAS**

- Aviation
- Banking, Finance and Technology
- Corporate and M&A
- Compliance and Investigations
- Dispute Resolution
- Employment and Labour
- Energy, Mining and Infrastructure
- Real Estate and Construction
- Restructuring and Insolvency
- Taxation

**KEY SERVICES OFFERED**

- Anti-bribery and corruption
- Anti-money laundering
- Anti-slavery and human rights
- Anti-trust and competition
- Crisis management and mitigation
- Civil/criminal proceedings
- Data protection, privacy and cybersecurity
- Director duties and code of ethics
- Environmental, social and governance
- Employment and labour
- Fraud protection and management
- Investigations
- Marketing, hospitality, gifts and entertainment guidelines and regulations
- Monitorships and monitorship advisory
- In-house compliance training
- Internal investigations including government investigations and enforcement
- Policy review and development
- Risk assessment and mitigation strategies

**KEY CONTACTS**



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*“DFDL seamlessly integrate their up-to-date knowledge of the current market and business environment with their legal knowledge.”*

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